

## AN UPDATED REVIEW ON FLOATING MICROSPHERES - A MODIFIED DOSAGE FORM

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### ABSTRACT

Floating microspheres is a novel drug delivery system used exclusively for gastro-retentive preparations based on a non – effervescent approach. Gastro-retentive floating microspheres are low-density systems that have sufficient buoyancy to float over gastric contents and remain in stomach for prolonged period. The drug is released slowly at desired rate resulting in increased gastric retention with reduced fluctuations in plasma drug concentration. Suitable for the continuous or late release of oral formulations, thus controlled drug delivery system. Floating microspheres are, in a strict sense, spherical empty particles without a core. Floating microspheres are especially gaining attention due to their wide applicability in the targeting of drugs to the stomach. Floating microspheres improve patient compliance by decreasing dosing frequency, better therapeutic effect of short half-life drugs can be achieved. Enhanced absorption of drugs which solubilize only in stomach. Floating microspheres are prepared by solvent diffusion and evaporation methods to create the hollow inner core. Present review articles deals with different aspect of floating microspheres.

**KEYWORDS:** Floating microspheres, buoyancy, gastro-retentive.

### INTRODUCTION

The primary aim of oral controlled drug delivery, the most preferable route of drug delivery system is to achieve better bioavailability and release of drug from the system which should be predictable and reproducible, easy for administration, patient compliances and flexibility in formulation for effective therapy or to improve therapeutic efficiency of the drug through improved bioavailability.<sup>[1]</sup> Gastric retention can be achieved by the mechanism of mucoadhesive or bioadhesion systems, expansion system, high density systems, magnetic systems, super porous hydrogels, raft forming systems, low density system and floating ion exchange resins.<sup>[2]</sup>

Microspheres are the hollow spherical particles made up of polymeric substances in which drug is entrapped or dispersed throughout the polymeric matrix. Its size ranges from 1 to 1000  $\mu\text{m}$ . Biodegradable polymers are frequently used for the development of microsphere matrixes such as polylactic acid and copolymer of lactic acid and glycolic acid. Apart from them, there is an extensive range of microspheres prepared from albumin, albumin dextran sulfate, and fibrinogen.<sup>[3]</sup> Microparticulate systems is advantageous because microspheres can be ingested or injected; they can be tailored for desired release profiles and used for site-specific delivery of drugs and in some cases can even provide organ-targeted release. Microspheres are small in size and therefore have large surface to volume ratios.<sup>[4]</sup>

### The use of floating microspheres in pharmaceuticals have a number of advantages<sup>[5,6,7]</sup>

- Improve drug absorption because of increase gastric residence time and more time spent.
- by the dosage form at its absorption site thereby increasing bioavailability of encapsulated drug.
- Controlled or sustained drug delivery system.
- Delivery of drugs for local action in the stomach.
- Minimizing the mucosal irritation due to drugs, by drug releasing slowly at controlled rate.
- Treatment of gastrointestinal disorders such as gastro oesophageal reflux.
- Simple and conventional equipment for manufacture.
- Ease of administration and better patient compliance.
- Less frequent dosing.
- Possibly reduced side effects of drugs for prolonged administration.
- Avoiding peak and valley curves in plasma drug concentration.
- Site - specific drug delivery.
- Superior to single unit floating dosage forms as such microsphere's releases drug uniformly and there is no risk of dose dumping.
- Avoidance of gastric irritation, because of sustained release effect.
- Better therapeutic effect of short half-life drugs can be achieved.
- Improved receptor activation selectivity.

- Extended time over critical (effective) concentration.
- Less inter- and intra-subject variability.
- Flexibility in dosage form design.

#### Disadvantages of Floating Microspheres<sup>[8,9]</sup>

- Floating systems are not feasible for those drugs that have solubility or stability problems in gastric fluids.
- Drugs such as Nifedipine, which is well absorbed along the entire GI tract and which undergo significant first – pass metabolism, may not be desirable.
- Gastric retention is influenced by many factors such as gastric motility, pH and presence of food.
- Drugs that cause irritation and lesion to gastric mucosa are not suitable to be formulated as drug delivery systems.

### APPROACHES TO GASTRIC RETENTION

#### 1. Floating Systems

Floating systems are low-density systems that have sufficient buoyancy to float over the gastric contents and remain in the stomach for a prolonged period. While the system floats over the gastric contents, the drug is released slowly at the desired rate, which results in increased gastro-retention time and reduces fluctuation in plasma drug concentration.<sup>[10]</sup>

#### 2 Bio/Muco-adhesive Systems

Mucoadhesive drug delivery systems interact with the mucus layer covering the mucosal epithelial surface, and mucin molecules and increase the residence time of the dosage form at the site of absorption. The drugs which have local action or those which have maximum absorption in gastrointestinal tract (GIT) require increased duration of stay in GIT. Thus, mucoadhesive dosage forms are advantageous in increasing the drug plasma concentrations and also therapeutic activity.<sup>[11]</sup>

Binding of polymer to mucin/epithelial surface can be divided into three broad categories.

- Hydration-mediated adhesion.
- Bonding-mediated adhesion.
- Receptor-mediated adhesion.

#### 3. Swelling and Expanding Systems

These are dosage forms, which after swallowing, swell to an extent that prevents their exit from the pylorus. As a result, the dosage form is retained in stomach for a long period of time. These systems may be named as “plug type system”, since they exhibit tendency to remain lodged at the pyloric sphincter.<sup>[12]</sup>

#### 4. High density systems

These systems with a density of about 3 g/cm<sup>3</sup> are retained in the rugae of stomach and are capable of withstanding its peristaltic movements. A density of 2.6-2.8 g/cm<sup>3</sup> acts as a threshold value after which such systems can be retained in the lower parts of the stomach. High-density formulations include coated pellets. Coating

is done by heavy inert material such as barium sulphate, zinc oxide, titanium dioxide, iron powder.<sup>[13]</sup>

#### 5. Incorporation of passage delaying food agents

Food excipients like fatty acids e.g. salts of myristic acid change and modify the pattern of stomach to a fed state, thereby decreasing gastric emptying rate and permitting considerable prolongation of release. The delay in gastric emptying after meals rich in fats is largely caused by saturated fatty acids with chain length of C10-C14.<sup>[14]</sup>

#### 6. Ion exchange resins

Ion exchange resins are loaded with bicarbonate and a negatively charged drug is bound to the resin. The resultant beads are then encapsulated in a semi-permeable membrane to overcome the rapid loss of carbon dioxide. Upon arrival in the acidic environment of the stomach, an exchange of chloride and bicarbonate ions takes place. As a result of this reaction carbon dioxide is released and trapped in the membrane thereby carrying beads towards the top of gastric content and producing a floating layer of resin beads in contrast to the uncoated beads, which will sink quickly.<sup>[15]</sup>

#### 7. Osmotic regulated systems

It is comprised of an osmotic pressure controlled drug delivery device and an inflatable floating support in a bioerodible capsule. In the stomach the capsule quickly disintegrates to release the intragastric osmotically controlled drug delivery device. The inflatable support inside forms a deformable hollow polymeric bag that contains a liquid that gasifies at body temperature to inflate the bag. The osmotic controlled drug delivery device consists of two components – drug reservoir compartment and osmotically active compartment.<sup>[16]</sup>

#### Polymers Used In Hollow Microspheres

A number of different substances both biodegradable as well as nonbiodegradable have been investigated for the preparation of microspheres; these materials include polymers of natural origin or synthetic origin and also semisynthetic substances. Microspheres can be prepared by using both hydrophilic and hydrophobic polymers.<sup>[17]</sup>

##### • Hydrophilic polymers

These include gelatin, agar, egg albumin, starch, chitosan, cellulose derivatives; HPMC, DEAE cellulose.<sup>[18]</sup>

##### • Hydrophobic polymers

These include ethyl cellulose, polylactic acid, PMMA, acrylic acid esters etc.

##### • Biodegradable polymers

These materials also slowly disappear from the site of administration; however it occurs in response to a chemical reaction such as hydrolysis.

Example: Polylactic acid (PLA), poly glycolic acid (PGA), Polycaprolactone (PCL) and several generic classes such as the polyanhydrides and poly orthoesters.

#### • Non-Biodegradable Hydrophobic Polymers

These materials are inert in the environment of use, are eliminated or extracted intact from the site of administration. Example: Polyethylene vinyl acetate (EVA), Polydimethyl siloxane (PDS), Polyetherurethane (PEU), Ethyl cellulose (EC), Cellulose acetate (CA), Polyethylene (PE) and Polyvinyl chloride (PVC), Acrycoat, Eudragit Setc.<sup>[19]</sup>

#### • Hydrogels

Hydrogels are polymeric networks based on hydrophilic macromonomers that are able to retain large amounts of water. These polymers swell but do not dissolve when brought in contact with water. As with the hydrophobic polymers, hydrogels are inert, removed intact from the site of administration, and function by forming a rate limiting barrier to the transport and release of drugs.

Example: Polyhydroxy ethyl methyl acrylate (PHEMA), cross-linked poly vinyl alcohol (PVA), cross linked poly vinyl pyrrolidone (PVP), poly acryl amide etc.

#### • Soluble polymers

These are moderate molecular weight (less than 75,000 Daltons) uncross linked polymers that dissolve in water. The rate of dissolution decreases with increasing molecular weight. These materials can be used alone or in combination with hydrophobic polymers to provide devices that slowly erode over time.

Example: polyethylene glycol (PEG), uncrosslinked poly vinyl alcohol or poly vinyl pyrrolidone, hydroxyl propyl methyl cellulose (Methocel) and copolymers of methacrylic acid and acrylic acid methyl ester (Eudragit L) etc.<sup>[20]</sup>

### METHODS OF PREPARATION OF MICROSPHERES

Choosing the method depends primarily on Character of a polymer being used, the drug, the factors equivocally determined by many formulations and technological factors as the size of the particles requirement, and the drug or protein should not be significantly impacted by the process, the reproducibility of the release profile and the method, there should be no stability Issue, in relation to the finished product. The various types of procedures used to prepare the microspheres using hydrophobic and hydrophilic polymers as matrix materials.<sup>[11]</sup>

- The capacity to integrate medication doses which are relatively small.
- Stability of preparation after synthesis with a shelf span which is clinically acceptable.
- Controlled particle size and dispersibility for injection in the aqueous vehicles.
- Effective reagent release with strong control over a large timescale.

- Biocompatibility of controllable biodegradability and chemical alteration response.

#### 1. Wax coating and hot melt

Wax used to encapsulate the main components, by dissolving or dispersing the product in melted wax. The waxy paste or mixture, such as frozen liquid paraffin, released by high intensity blending with cold water. The water is heated up for at least an hour. The substance is stirred up for at least 1 hour. Then the external layer (liquid paraffin) is decanted and the microspheres are immersed in a non-miscible solvent and dry air is required to dry. For the surface ingredients, carnauba wax and beeswax can be used and both should be combined to obtain desirable characteristics.<sup>[14]</sup>

#### 2. Spray drying technique

This was used to prepare polymer microsphere mixed charged with drug. This requires dispersing the raw substance into liquefied coating liquid, and then spraying the mixture into the air for surface solidification accompanied by rapid solvent evaporation. Organic solvent and polymer solution are formulated and sprayed in various weight ratios and drug in specific laboratory conditions producing microspheres filled with medications. This is fast but may lose crystallinity due to rapid drying.<sup>[16]</sup>

#### 3. Coacervation

This method is a straight forward separation of macromolecular fluid into two immiscible types of material, a thick coacervate layer, comparatively condensed in macromolecules, and a distilled layer of equilibria. This method is referred to as basic coacervation, in the presence of just one macromolecule. If two or more opposite-charge macromolecules are involved, they are considered complex coacervation. The former is caused by specific factors including temperature shift, Using non-solvent or micro-ions contributing to dehydration in macromolecules, since they facilitate interactions between polymer and polymer through polymer solvent interactions. This can be engineered to generate different properties on microsphere.<sup>[17]</sup>

#### 4. Solvent evaporation

The method of solvent evaporation has also been extensively used to preparation of PLA and PLGA microspheres which contain many various drugs. Several variables were identified that can significantly affect microspheric characteristics, such as solubility of drug, internal morphology, type of solvent, diffusion rate, temperature, polymer composition as well as viscosity, and drug loading. The efficacy of the solvent evaporation system to create microspheres relies on the effective entanglement of the active substance into the particles, and therefore this procedure is particularly efficient with drugs that are either insoluble or partially soluble in the liquid medium that constitutes the constant phase.<sup>[18]</sup>

## 5. Precipitation

It is a modification of the form of evaporation. The emulsion is polar droplets scattered over a non-polar medium. The use of a co-solvent can extract solvent from the droplets. The subsequent rise in the concentration of polymers induces precipitation to create a micro spheric suspension.<sup>[19]</sup>

## 6. Freeze Drying

Freeze-drying is effectively used in protein API microspheres preparation. The method is freezing, sublimation, main drying, and secondary drying. At the freezing step, account is taken of the eutectic point of the components. During the process, lyoprotectants or cryoprotectants will stabilise API molecules by removing water, creating a glass matrix, lowering intermolecular interaction by forming hydrogen bonds between the molecules or dipole - dipole interactions. It's a beneficial cycle for heat tolerant molecules, given its high expense. Freeze-drying produces solidification and then enables the reconstitution of particles in an aqueous media.<sup>[20]</sup>

## 7. Single Emulsion Solvent Evaporation Technique

This process requires polymer dissolution in an organic solvent accompanied by emulsification of an aqueous environment containing the emulsifying agent. The resulting emulsion is stirred for several hours in atmospheric conditions to allow the solvent to evaporate, which is then washed, rinsed and dried in desiccators. Designed and manufactured drugs microspheres with polymers by diffusion-evaporation method with emulsion solvent.<sup>[3]</sup>

## 8. Double emulsification method

The Doppel-emulsion strategy requires mixing w / o / w or o / w / o processing the double emulsion. The aqueous solution of the product is distributed in a continuous lipophilic organic phase. The continuous step which consists of a polymer solution eventually encapsulates medication Observed in the scattered aqueous layer to form primary emulsion. Prior to introduction to the aqueous solution of alcohol to form primary emulsion, the pre-formed emulsion is subjected to homogenisation or sonication. The microspheres filled with the drug prolonged the release of the medication 24 hours and were Observed to be diffusion and erosion regulated.<sup>[8]</sup>

## 9. Ionic gelation method

Ionotropic gelation is depend on the tendency of polyelectrolytes to cross connect to develop hydrogel beads often called gelispheres in the existence of counter ions. Gelispheres are Circular cross linked polymeric hydrophilic agent capable of substantial gelation and thickening in model biological fluids and drug release regulated by polymer relaxation via it. The hydrogelbeads are formed by dumping a drug-laden polymeric solution into the polyvalent cations aqueous solution. The cations migrate through the drug-laden hydrophilic compounds, creating a three-dimensional lattice the moiety is ionically crosslinked. Biomolecules

may also be placed into these gel spheres to maintain their three-dimensional form under moderate conditions.<sup>[9]</sup>

## MECHANISM OF FLOTATION OF MICROSPHERES

When microspheres come in contact with gastric fluid, the gel formers, polysaccharides, and polymers hydrate to form a colloidal gel barrier that controls the rate of fluid penetration into the device and consequent drug release. As the exterior surface of the dosage form dissolves, the gel layer is maintained by the hydration of the adjacent hydrocolloid layer. The air trapped by the swollen polymer lowers the density and confers buoyancy to the microspheres. However a minimal gastric content is needed to allow proper achievement of buoyancy.<sup>[11]</sup>

## Mechanism of drug release from the microspheres

The mechanism of drug release from multiparticulates can occur in the following ways.

### Diffusion

On contact with aqueous fluids in the gastrointestinal tract (GIT), water diffuses into the interior of the particle. Drug dissolution occurs and the drug solutions diffuse across the release coat to the exterior.<sup>[8]</sup>

### Erosion

Some coatings can be designed to erode gradually with time, thereby releasing the drug contained within the particle.

### Osmosis

In allowing water to enter under the right circumstances, an osmotic pressure can be built up within the interior of the particle. The drug is forced out of the particle into the exterior through the coating.<sup>[9]</sup>

## CHARACTERIZATION OF FLOATING MICROSPHERES

Hollow microspheres are evaluated by their micromeritic properties for instance tapped density, compressibility index, particle size, true density and flow properties etc.

### 1. Micromeritics

Microspheres were characterized for their micromeritic properties such as particle size, angle of repose, compressibility index and Hausner's ratio.<sup>[6]</sup>

#### A. Particle size

The particle size of the microspheres was measured using an optical microscopic method and mean microsphere size was calculated by measuring 200-300 particles with the help of a calibrated ocular micrometer.

Different sizes of microspheres and their distribution in each batch are measured by sieving in a mechanical shaker, using a nest of standard sieves (ASTM) and the shaking period of 15 minutes. Particle size distribution is

found out and the average particle size of hollow microspheres is calculated by means of the following formula.<sup>[8]</sup>

**Mean particle size =  $\Sigma$  (mean particle size of the fraction  $\times$  weight fraction)/ $\Sigma$  (weight fraction)**

### B. Bulk density

Bulk density is defined as the mass of powder divided by bulk volume. Accurately weighed 10gm sample of granules was placed into 25 ml measuring cylinder. Volume occupied by the granules was noted without disturbing the cylinder and the bulk density was calculated using the equation (values expressed in gm/cm<sup>3</sup>).<sup>[7]</sup>

**Bulk density = Weight of sample/Volume of sample**

### C. Tapped density

Accurately weighed 10 gm of powder sample was placed in 25 ml measuring cylinder. The cylinder was dropped at 2-second intervals onto a hard wooden surface 100 times, from a height of one inch. The final volume was recorded and the tapped density was calculated by the following equation (values expressed in gm/cm<sup>3</sup>).<sup>[9]</sup>

**Tapped density = mass of microsphere/volume of microsphere after tapping**

### D. Carr's index (%)

The Carr's index is frequently used as an indication of the flowability of a powder. A Carr index greater than 25% is considered to be an indication of poor flowability and below 15% of good flowability. Flow property of blend depends upon Compressibility index. The Carr's index is an indication of the compressibility of a powder. It is calculated by the formula.<sup>[11]</sup>

**Carr's index (%) = [(Tapped density – Bulk density)/Tapped density]  $\times$  100**

### E. Angle of repose ( $\theta$ )

The angle of repose is indicative of flowability of the substance. Funnel was adjusted in such a way that the stem of the funnel lies 2.5 cm above the horizontal surface. The sample powder was allowed to flow from the funnel, so the height of the pile just touched the tip of the funnel. The diameter of the pile was determined by drawing a boundary along the circumference of the pile and taking the average of three diameters.<sup>[12]</sup>

The angle of repose is calculated by

$$\theta = \tan^{-1} h/r$$

Where,  $\theta$  is angle of repose, h is height of the pile; r is the radius of the pile.

### F. Hausner's ratio

The Hausner's ratio is an indication of the compressibility of a powder. It is calculated by the formula,

**Hausner's ratio = (Tapped density/Bulk density)  $\times$  100**

The Hausner's ratio is frequently used as an indication of the flowability of a powder. A Hausner's ratio greater

than 1.25 is considered to be an indication of poor flowability. The observations for the flow properties determinations were recorded.<sup>[16]</sup>

### 2. Percentage yield

Percentage yield of buoyant microspheres was calculated by dividing actual mass of product to total amount of all non-volatile components that are used in the preparation of floating microspheres and is represented by following formula.<sup>[18]</sup>

**% yield = (actual weight of floating microspheres / weight of drug taken + total polymer weight)  $\times$  100**

### 3. Drug entrapment efficiency (DEE)

The quantity of drug entrapped was estimated by crushing the microspheres and extracting with aliquots of 0.1N HCl repeatedly. The extract was transferred to a 100 ml volumetric flask and the volume was made up using 0.1N HCl. The solution was filtered and the absorbance is measured by spectrophotometer against appropriate blank.<sup>[15]</sup> The amount of drug entrapped in the microspheres was calculated by the following formula.

**DEE = (amount of drug actually present/theoretical drug load expected)  $\times$  100**

### 4. Surface Morphological Study using SEM

The external and internal morphology of the microspheres are studied by scanning electron microscopy (SEM).<sup>[15]</sup>

### 5. In vitro Buoyancy/ Floating behaviour

Floating behaviour of microspheres was studied using a USP dissolution test apparatus II, specified in the USP I. Fifty milligrams of the microspheres are spread over the surface of the dispersing medium (900 ml of 0.1 N HCl) containing 0.02% Tween 80 as surfactant. The dissolution medium was agitated with a paddle rotating at 100 rpm and maintained at 37°C.

After 12 hours, both the floating and the settled portions of microspheres were collected separately. The microspheres were filtered, dried and weighed.<sup>[19]</sup> The percentage of floating microspheres was calculated using the following equation,

**Percentage Buoyancy =  $W_f / W_f + W_s$**

Where,  $W_f$  and  $W_s$  are the masses of the floating and settled microparticles.

### 6. Dissolution test (in vitro-drug release) of microspheres

The In vitro release rate of floating microspheres was determined in a United States Pharmacopoeia (USP) I basket type dissolution apparatus. A known quantity of floating microspheres equivalent to the drug dose was filled into a hard gelatin capsule and placed in the basket of dissolution rate apparatus. 900 ml of the dissolution medium was used and stirred at 100 rpm at 37  $\pm$  0.5 °C. Samples are withdrawn at a specified time interval

and analysed by any suitable analytical method, such as UV spectroscopy.<sup>[15]</sup>

### 7. In-vivo Studies

In vivo studies are generally conducted in healthy male albino rabbits weighing 2-2.5 kg. The animals are fasted for 24 hours before the experiments; however, they are given free access to food and water during the experiments. Blood samples (2 mL) are collected from the marginal ear vein into heparinized centrifuge at an appropriate time interval. The in-vivo plasma summary can be obtained by performing the study in suitable animal models (e.g. beagle dogs). The in-vivo floating behaviour can be investigated by X-ray photography of floating microspheres loaded with barium sulphate in the stomach of beagle dogs. In vivo studies have been also carried out in healthy human volunteers. The pharmacokinetic parameters were determined by the analysis of urinary excretion data.<sup>[19]</sup>

### 8. Stability Studies

Optimized formulation was sealed in aluminium packaging, coated inside with polyethylene. The samples were kept in the stability chamber maintained at 40°C and 75% RH for 3 months. At the end of studies, samples were analysed for the physical appearance and drug content.<sup>[20]</sup>

### CONCLUSION

Drug absorption in the gastrointestinal tract is a highly variable procedure and prolonging gastric retention of the dosage form extends, the time for drug absorption. Hollow microsphere promises to be a potential approach for gastric retention. Although there are number of difficulties to be worked out to, achieve prolonged gastric retention, a large number of companies are focusing toward commercializing this technique.

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